

Amadeus Wealth Alternatives (AWA) Privacy Policy

At AWA we recognize the importance of maintaining the utmost security and confidentiality of our customer's information. In addition to internal policies and procedures designed to safeguard customer information, we prohibit those we do business with from any reuse of that information for purposes other than those intended by AWA. Our privacy policy is subject to change. In the event that changes occur, you will be informed in accordance with applicable laws. Additionally, you will be provided with our privacy policy annually. For purposes of this policy, customer information means personally identifiable information about a consumer who has a relationship with AWA. Accordingly, at AWA we have adopted the following:

Customer information security and confidentiality

We take a number of steps to ensure that customer information is adequately safeguarded. These steps include the following: 1) Implementing a number of physical and electronic security features to prevent unauthorized access; 2) Limiting employee access to customer information; 3) Conducting periodic reviews of our computer systems, including security features; 4) SMS consent and phone number are not share with any third parties/affiliates for marketing purposes. Mobile opt-in will not be shared. Additionally, our employees are required to acknowledge their responsibility to maintain the confidentiality of customer information.

How we collect information:

We only collect customer information that:

1) We receive on applications to us for our products or services; 2) We receive from processing your accounts with us and the transactions in those accounts, as well as providing services to you; 3) We receive about you from our affiliated companies; and 4) We receive in response to requests made to third parties about you or to confirm information that you have provided to use.

How we disclose customer information:

We may disclose customer information under the following circumstances: 1) To verify or complete a transaction; 2) To verify the existence and condition of your account for a third party, such as another financial institution; 3) To a third party who performs functions on behalf of AWA (i.e. custodian or other broker – dealer); 4) To comply with laws, regulations, or a court or government order or request; 5) To inform you of our other products or services; or 6) If you or any authorized person on the account gives us oral or written permission to do so.

Customer information we disclose to others:

We may disclose customer information to others as follows: 1) Parties who we request to verify the accuracy of information that a customer has provided to us; and 2) Parties who assist us in servicing our customers' accounts, such as a custodian, money manager or broker-dealer. Additionally, we provide customer information to others as permitted by law, which include: 1) In response to a subpoena, levy, garnishment or other legal process; and 2) In response to a request by an auditor or SEC/NASD examiner.

Customer SMS Opt Out/In:

If you consent to receive SMS from Amadeus Wealth Alternatives, you agree to receive SMS from us containing messages about your and our requests for service-related tasks. These may include SMS messages for reminders of the necessity to e-sign various documents. Reply STOP to opt-out; Reply HELP for support; Message and data rates may apply; Messaging frequency may vary. Visit <https://www.amadeuswealth.com/awa-privacy-policy-and-crs> to see our Privacy Policy and terms and conditions.

Customer information Shared Among AWA's Affiliates:

AWA has several affiliates that allow us to provide our customers with an array of financial services that include investment advisory, brokerage, tax and banking services.

Customer information about Former Customers:

We apply the same privacy policies and practice to our former customers that we do to our existing customers. Collected customer information is retained in accordance with Federal law.

Customer information – Accuracy:

We recognize the importance of maintaining accurate customer information that is provided to you in various forms, including account statements and billing statements. If you ever notice that your information is inaccurate, please contact us. Upon your notification we will correct any inaccuracies.

Protecting your information:

There are a number of steps you can take to protect your information, including 1) Before you reveal any personally identifying information, find out how it will be used and whether it will be shared with others; 2) Pay attention to your billing cycles and follow up with creditors if your bills don't arrive on time; 3) Guard your mail from theft; 4) Deposit outgoing mail in post office collection boxes or at your local post office; and 5) Put passwords on your credit card, bank and phone accounts. If you suspect that your personal information, including social security number or account information has been misappropriated to commit fraud or theft please contact; 1) Your AWA personal representative; 2) The fraud departments of each of the three major credit bureaus; 3) The creditors for any accounts that

have been tampered with or opened fraudulently; and 4) Your local police or the police in the community where the identify theft took place. You should also contact the Federal Trade Commission (FTC) at 10877-IDTheft or at www.consumer.gov/idtheft.

Limiting direct marketing:

If you wish to remove your name or reduce the amount of marketing e-mail that you receive, the client may do so by unsubscribing from our weekly economic update list at any time.

Client's Instructions:

The client authorizes and instructs AWA to utilize Charles Schwab & Company to execute some or all of the Client's securities transactions.

Brokerage Instructions and Disclosure Acknowledgement:

If Client wishes to direct its brokerage to a particular broker or dealer other than Charles Schwab & Co. or has been referred to AWA by a particular broker or dealer who is to be utilized to execute some of all of Client's transaction, the client may do so.

Adviser's Disclosures:

The Client also acknowledges that it has read and understands the following with regard to Client's instructions for Adviser to utilize the services of a broker dealer other than Charles Schwab & Co:

Adviser will seek to obtain best execution on trades placed with brokers or dealers whom Client has instructed Adviser to direct its trades. However, the cost and quality of such executions may be less favorable than those executed by Adviser for its clients without brokerage direction.

Adviser will not seek better execution services or prices from other broker-dealers or be able to aggregate Client's transactions, for execution through other brokers or dealers, with orders for other accounts advised or managed by Adviser.

Adviser may not obtain best execution on behalf of Client, who may pay materially disparate commissions, greater spreads or other transaction costs, or receive less favorable net prices on transactions for the Account than would otherwise be the case.

